

Behavioral Health Division  
Alcohol and Other Drug Services Managed Care System  
ASAM Criteria Placement Assessment

Demographic & Initial Information

|   |                                   |  |                                 |   |                                |
|---|-----------------------------------|--|---------------------------------|---|--------------------------------|
| Name:   |                                   | Date:  |                                 |   |                                |
| Phone Number :  |                                   | Address:   |                                 |   |                                |
| Is it okay to leave voicemail? <input type="checkbox"/> Yes <input type="checkbox"/> No |                                   |  |                                 |   |                                |
| DOB:  |                                   | Age:   |                                 | Gender:   |                                |
| Race/Ethnicity:   |                                   | Preferred Language:  |                                 | Referred by:  |                                |
| MRN/Client ID:  |                                   | Medi-Cal Beneficiary<br><input type="checkbox"/> Yes <input type="checkbox"/> No |                                 | Are you pregnant?<br><input type="checkbox"/> Yes <input type="checkbox"/> No                     |                                |
|   |                                   |  |                                 | If yes, are you an IV substance user?<br><input type="checkbox"/> Yes <input type="checkbox"/> No |                                |
| <input type="checkbox"/> IV User  | <input type="checkbox"/> Homeless | <input type="checkbox"/> Veteran   | <input type="checkbox"/> AB 109 | <input type="checkbox"/> Criminal Justice   | <input type="checkbox"/> Other |

Preliminary Questions

|   |  |   |
|---|--|---|
| Have you ever been in Alcohol and Other Drug Treatment?   | <input type="checkbox"/> Yes <input type="checkbox"/> No | If Yes, when and where?                                     |
| Transaction Type (check one)  | Initial Admission <input type="checkbox"/>               | Transfer of Level of Care/Service: <input type="checkbox"/> |
| Is there a consent form allowing future possible contact with others? If so, please list with whom and provide contact information. |  | <input type="checkbox"/> Yes <input type="checkbox"/> No    |

IMMEDIATE NEED PROFILE

**IMPORTANT:** Yes answers to questions, 1, 2 and/or 3 require that the person immediately receive medical or psychiatric care for evaluation of need for acute, inpatient care.

Yes to answer questions 4a and b, or 4 b alone, require the person be seen for assessment within 48 hours, and preferably earlier for motivational strategies, unless person is imminently likely to walk out and needs a more structured intervention.

Yes answer to question 5 a, assess further for need for immediate intervention (eg, taking keys of car away; having a relative/friend pick person up if severely intoxicated and unsafe; evaluate need for immediate psychiatric intervention)

Yes to answers 5b, 5c and/or 6 without any yes answers in questions 1, 2, or 3, require that the patient be referred to a safe or supervised environment (eg shelter, alternative safe living environment, or residential or subacute care setting, depending on level of severity and impulsivity)

Dimension 1: Acute Intoxication and/or Withdrawal Potential

- |   |                              |                             |
|---|------------------------------|-----------------------------|
| a. Are you currently having severe, life-threatening, and/or similar withdrawal symptoms? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|---|------------------------------|-----------------------------|

Dimension 2: Biomedical Conditions/Complications

- |   |                              |                             |
|---|------------------------------|-----------------------------|
| a. Any there any current, severe physical health problems? Is there anything out of the ordinary that you are experiencing in relation to your physical health? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|---|------------------------------|-----------------------------|

Dimension 3: Emotional/Behavioral/Cognitive Conditions/Complications

- |   |                              |                             |
|---|------------------------------|-----------------------------|
| a. Are you currently in danger of harming yourself or someone else? Do you have thoughts about wanting to hurt yourself? Do you have any intent or plan to hurt yourself and means by which to do so? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|---|------------------------------|-----------------------------|

1 Client Name: MRN #:  
Behavioral Health Division Multi-Dimensional Treatment Screening Interview for SUD

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|  |   |                             |
|--|---|-----------------------------|
| b. Are you experiencing thoughts and feelings of homicidal or violent ideation, impulses and uncertainty about the ability to control impulses with the means to act upon them?  | <input type="checkbox"/> Yes                                      | <input type="checkbox"/> No |
| c. Are you unable to function in activities of daily living or care for yourself? Does this inability lead to dangerous consequences for you?  | <input type="checkbox"/> Yes                                      | <input type="checkbox"/> No |
| <b>Dimension 4: Readiness to Change</b>  |   |                             |
| a. Does the person appear to need alcohol or other drug treatment/recovery and/or mental health treatment, but ambivalent or feels it unnecessary?   | <input type="checkbox"/> Yes                                      | <input type="checkbox"/> No |
| b. Do you feel you are being forced or required to seek screening, assessment and/or treatment?  | <input type="checkbox"/> Yes<br><br>If yes, by who: _____         | <input type="checkbox"/> No |
| <b>Dimension 5: Relapse, Continued Use, or Continued Problem Potential</b>   |   |                             |
| a. Are you currently under the influence?<br>Is the person currently acutely psychotic, manic or suicidal?   | <input type="checkbox"/> Yes<br>If Yes to any, please list: _____ | <input type="checkbox"/> No |
| b. Is the person likely to continue to use or have active, acute symptoms in a dangerous manner if they do not receive immediate, secure placement?  | <input type="checkbox"/> Yes                                      | <input type="checkbox"/> No |
| c. Is person's most troubling presenting problem(s) that promoted the call or brings them in for this. dangerous to the person or others?  | <input type="checkbox"/> Yes                                      | <input type="checkbox"/> No |
| <b>Dimension 6: Recovery Environment</b>   |   |                             |
| a. Are there any dangerous family; significant others; living, work, or school situations threatening your safety, immediate well-being, and/or sobriety (eg., living with a drug dealer; physically abused by partner or significant other; homeless in freezing temperatures)? | <input type="checkbox"/> Yes                                      | <input type="checkbox"/> No |

|           | Substance Name | Last 30 Days (# of Days) | Amount | Frequency | Age at First Use | Method of Use |
|-----------|----------------|--------------------------|--------|-----------|------------------|---------------|
| Primary   |                |                          |        |           |                  |               |
| Secondary |                |                          |        |           |                  |               |
| Tertiary  |                |                          |        |           |                  |               |
| Other     |                |                          |        |           |                  |               |

|                         |                          |                        |
|-------------------------|--------------------------|------------------------|
| Date of Last Use: _____ | Substance(s) Used: _____ | Number of Times: _____ |
|-------------------------|--------------------------|------------------------|

|   |          |          |          |          |          |
|---|----------|----------|----------|----------|----------|
| <b>Severity Rating: Dimension 1</b>         | <b>0</b> | <b>1</b> | <b>2</b> | <b>3</b> | <b>4</b> |
| Please mark rating in section to the right. |          |          |          |          |          |

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**DIMENSION 2: Biomedical Conditions/Complications**

|  |  |   |   |   |   |   |
|--|--|---|---|---|---|---|
| Have you ever been Hospitalized? <input type="checkbox"/> Yes <input type="checkbox"/> No  | Please note dates/illness(es):   |   |   |   |   |   |
| Have you been diagnosed with: (Check all that apply)   |  | Clinician: Please specify dates of diagnosis, if able |   |   |   |   |
| Hepatitis C <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to State   | Allergies: <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to State<br>Please specify:   |   |   |   |   |   |
| STDs <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to State  | Tuberculosis: <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to State   |   |   |   |   |   |
| Seizure(s) <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to State  | High blood pressure: <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to State  |   |   |   |   |   |
| Have you been tested for HIV/AIDS?<br><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to State                     | Did you receive the results of the HIV/AIDS Test?<br><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to State                  |   |   |   |   |   |
| Are you allergic to any medications:<br><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to State<br>Specify: _____ | Ever had a head injury and been treated for it?<br><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to State<br>Describe: _____ |   |   |   |   |   |
| <b>Severity Rating: Dimension 2</b><br>Please mark rating in section to the right.   |  | 0   | 1 | 2 | 3 | 4 |

**Dimension 3: Emotional/Behavioral/Cognitive  
Conditions/Complications**

|   |  |
|---|--|
| Have you ever been diagnosed with a mental illness?<br><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to State | If YES, what diagnosis?  |
| When was Diagnosis?   | Who diagnosed?   |
| Have you been prescribed any medication for psychological or emotional needs?<br>(Please mark answer in box to the right)                                 | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to State |

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|  |  |  |  |
|--|--|--|--|
| If yes, please list medication(s)?                     |  | Who is prescribing the medication(s)?  |  |
| Have you ever experienced significant periods of:      |  | If <b>Yes</b> , please describe When/Comments (Please note if this was during times while using substances or not) |  |
| <b>DANGEROUSNESS/LETHALITY</b>                         |  |  |  |
| Serious thoughts of suicide                            | <input type="checkbox"/> Yes <input type="checkbox"/> No |  |  |
| Wanting to hurt others                                 | <input type="checkbox"/> Yes <input type="checkbox"/> No |  |  |
| Inability to control violent behavior                  | <input type="checkbox"/> Yes <input type="checkbox"/> No |  |  |
| <b>INTERFERENCE WITH RECOVERY EFFORTS</b>              |  |  |  |
| Depression   | <input type="checkbox"/> Yes <input type="checkbox"/> No |  |  |
| Anxiety  | <input type="checkbox"/> Yes <input type="checkbox"/> No |  |  |
| Hallucinations   | <input type="checkbox"/> Yes <input type="checkbox"/> No |  |  |
| Trouble understanding,<br>concentrating or remembering | <input type="checkbox"/> Yes <input type="checkbox"/> No |  |  |
| <b>SOCIAL FUNCTIONING</b>                              |  |  |  |
| Conflicts in relationships (family, partner, friends)  | <input type="checkbox"/> Yes <input type="checkbox"/> No |  |  |
| Struggles with vocational or educational demands       | <input type="checkbox"/> Yes <input type="checkbox"/> No |  |  |
| Inability to meet personal responsibilities            | <input type="checkbox"/> Yes <input type="checkbox"/> No |  |  |
| <b>ABILITY FOR SELF CARE</b>                           |  |  |  |
| Difficulty performing activities of daily living       | <input type="checkbox"/> Yes <input type="checkbox"/> No |  |  |

|   |          |          |          |          |          |
|---|----------|----------|----------|----------|----------|
| <b>Severity Rating: Dimension 3</b>         | <b>0</b> | <b>1</b> | <b>2</b> | <b>3</b> | <b>4</b> |
| Please mark rating in section to the right. |          |          |          |          |          |

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**DIMENSION 4: Readiness to Change**

**4A] Readiness to Change: SUD**

Can you tell me how you view your substance use and its role in your life? What types of ideas have you had about changing your use?

☐ Pre-contemplation    ☐ Contemplation    ☐ Preparation    ☐ Action    ☐ Maintenance    ☐ Relapse

**Dimension 4: Readiness to Change- Contd.**

**4B] Readiness to Change: Mental Health**

Can you tell me how you view your mental health and its role in your life? What ideas, if any have you had regarding changing anything about your mental health?

☐ Pre-contemplation    ☐ Contemplation    ☐ Preparation    ☐ Action    ☐ Maintenance    ☐ Relapse

**Severity Rating: Dimension 4**

Please mark rating in section to the right.

0    1    2    3    4

**DIMENSION 5a: Relapse /Continued Use/Continued Problem Potential (SUD Specific)**

Are any of the following triggers for using substances? If yes, please describe:

|  |  |
|--|--|
| <input type="checkbox"/> Difficulty dealing with negative emotions | <input type="checkbox"/> Difficulty coping with cravings |
| <input type="checkbox"/> Relationship problems                     | <input type="checkbox"/> Financial stressors             |
| <input type="checkbox"/> Work pressures                            | <input type="checkbox"/> Difficulty refusing peers       |
| <input type="checkbox"/> Chronic Pain                              | <input type="checkbox"/> Other, please define            |

What do you do when you are triggered to use and you do not use?

What was your longest period of sobriety/abstinence?

When did this occur?

What helped during this period?

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**DIMENSION 5b: Relapse /Continued Use/Continued Problem Potential (Mental Health Specific)**

Are you aware of triggers regarding your mental health? ☐ Yes ☐ No

Are any of the following triggers? If yes, please describe:

|   |  |  |
|---|--|--|
| <input type="checkbox"/> Family relationships | <input type="checkbox"/> Partner Relationships | <input type="checkbox"/> Peer relationships  |
| <input type="checkbox"/> Employment           | <input type="checkbox"/> Financial             | <input type="checkbox"/> Physical conditions |
| <input type="checkbox"/> Other                |  |  |

Are there any other triggers or situations that you feel put you at a greater risk in regards to your mental health? ☐ Yes ☐ No  
Please describe:

**Severity Rating: Dimension 5**

Please mark rating in section to the right.

0 1 2 3 4

**DIMENSION 6a: Recovery Environment (SUD Specific)**

Please describe any barriers you see in reaching your goals regarding your substance use:

- ☐ Occupational problems ☐ Housing problems ☐ Economic problems ☐ Problems with primary support group
- ☐ Problems with access to health care ☐ Transportation ☐ Legal issues and/or criminal justice involvement
- ☐ Etc. and please describe

Is there anybody in your life that you see daily or almost daily that is using alcohol and/or other drugs? ☐ Yes ☐ No  
If yes, please describe your relationship to the person/people:

Who is supportive of you becoming and remaining substance free? Please describe what they do to support you.

|  |                              |                             |   |
|--|------------------------------|-----------------------------|---|
| Have you participated in any social support recovery activities (such as 12-step meetings, other self-help meetings, religious/faith recovery meetings, meetings of an organization other than those listed above, interactions with family members and/or friend for support of your recovery)? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Decline to State |
|--|------------------------------|-----------------------------|---|

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Specify what type and how often?

**DIMENSION 6b: Recovery Environment (Mental Health Specific)**

**(If applicable, i.e., the client has a mental health problem) If N/A, check this box ☐**

Please describe any barriers you see in reaching your goals regarding your mental health:

- ☐ Occupational problems   ☐ Housing problems   ☐ Economic problems   ☐ Problems with primary support group
- ☐ Problems with access to health care   ☐ Transportation   ☐ Legal issues and/or criminal justice involvement
- ☐ Etc. and please describe

Are you currently using community mental health resources?

☐ Yes

☐ No

**If YES, Which Mental Health Clinic or Program? Please be specific:**

If **NO**, do you know how to access community mental health resources?

☐ Yes

☐ No

**Severity Rating: Dimension 6**

Please mark rating in section to the right.

**0**

**1**

**2**

**3**

**4**

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**ASAM SEVERITY RATING SUMMARY**

**ASAM DIMENSIONS:** 1: Acute Intoxication and/or Withdrawal Potential; 2-Biomedical Conditions and Complications; 3-Emotional/Behavioral/Cognitive Conditions and Complications; 4-Readiness to Change ; 5-Relapse, Continued Use or Continued Problem Potential; 6-Recovery Environment

| Severity Rating  |  | Dimensions |   |   |   |   |   |
|--|--|------------|---|---|---|---|---|
|  |  | 1          | 2 | 3 | 4 | 5 | 6 |
| <b>0-No Risk:</b> No current risk. Any acute or chronic problem mostly or entirely stabilized. | No immediate services needed.  |            |   |   |   |   |   |
| <b>1-Mild:</b> Mild or minimal difficult issue or minor symptoms present. Any existing         | Low intensity of services needed for this dimension.   |            |   |   |   |   |   |
| <b>2-Moderate</b>  | Moderate intensity of services, skills, training or supports needed.   |            |   |   |   |   |   |
| <b>3-Substantial or Significant</b>  | Moderately high intensity of services, skills, training or supports needed. May be in danger or near imminent danger.                    |            |   |   |   |   |   |
| <b>4-Severe</b>  | High intensity of services, skills, training or supports needed. Immediate or urgent services required and need to be closely monitored. |            |   |   |   |   |   |

|   |  |
|---|--|
| <b>LEVEL OF CARE RECOMMENDATION</b>   |  |
| <b>If recommendation for level of care is different than what the criteria supports, please explain</b> |  |

Staff/Clinician Printed Name \_\_\_\_\_ Staff/Clinician Signature \_\_\_\_\_ Date Completed \_\_\_\_\_

Staff/Clinician Phone Number: \_\_\_\_\_

Physician's Printed Name (Methadone) \_\_\_\_\_ Physician's Signature \_\_\_\_\_ Date \_\_\_\_\_

Clinical Supervisor's Printed Name(Methadone) \_\_\_\_\_ Clinical Supervisor's Signature \_\_\_\_\_ Date \_\_\_\_\_